



PRABHAT FINANCIAL SERVICES LIMITED



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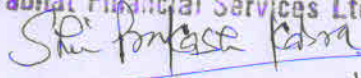
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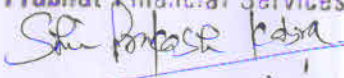
Member : National Stock Exchange of India Limited, Bombay Stock Exchange Limited, SEBI REGN. NO. INZ000169433
D P : Central Depository Services (India) Limited. SEBI REGN NO. IN-DP-CDSL-216-2003

Policy regarding Modification of Client Codes (All Segments)

We here by authorize Mr. Tilak Chand Saini, Manager – Surveillance to handle issues relating to modification of client codes for all segments. Mr. Vivek Bhatia – Technologies will be handling these issues in absence of Mr. Tilak Chand Saini. We define our policy for modification of client codes for all segments herein under.

1. The following would constitute genuine errors with regard to client code modifications:
 - Error due to communication and/or punching or typing such that the original client code/name and the modified client code/name are similar to each other.
 - Modification within relatives ('Relative' for this purpose would mean "Relative" as defined under the Companies Act, 1956).
 - Penalty for error due to miscommunication by client or modification of client code within relatives will be debited to client's trading account with us and penalty for punching error will be borne by the company.
2. We have uploaded UCC "ERROR" as error account code.
3. Under special circumstances (like execution of trade in wrong scrip and/or trade not accepted by client due to communication error etc), trades will be shifted to error account code "ERROR". Trades shifted to code "ERROR" will be liquidated/closed out in market on behalf of company and will not be transferred to any other client code.

For Prabhat Financial Services Ltd

Director
(Shri Prakash Kabra)
Director

For Prabhat Financial Services Ltd

Director
(Ashok Kumar Sharma)
Director

Place: Jaipur

Date: June 12, 2024

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