



PRABHAT FINANCIAL SERVICES LIMITED

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CIN No. U67190UP1995PLC017537

Member : National Stock Exchange of India Limited, Bombay Stock Exchange Limited, SEBI REGN. NO. -INZ000169433
D P : Central Depository Services (India) Limited. SEBI REGN NO. IN-DP-CDSL-216-2003

Dear Esteemed Client,

1. Kindly note that we are not required to have a Business Continuity / Disaster Recovery (DR) Plan / Site under the existing regulatory provisions and as per guidelines issued by the Stock Exchange/s.
2. We have provided you a copy of Investor Charter -- Stock Brokers & Depository Participants.
3. *Kindly note that the stock broker / stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute POA or DDPI.*
4. *Kindly note that we do Proprietary trading as Trading Member of NSEIL and BSE.*
5. *Kindly specify your Trading Preferences.*

TRADING PREFERENCES					
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.					
Exchanges	NSE , BSE & MSEI - Signature : _____				MCX, NCDEX, BSE & NSE
All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodity Derivatives

If you do not wish to trade in any of segments / Mutual Fund, please mention here _____.					

Signature: _____

Client Name:

Client Code:

Place:

Date:

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